

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alfred Ducharme et al.  
Serial No.: 10/705,643  
Confirmation No.: 4766  
Filed: November 10, 2003  
For: METHODS AND APPARATUS FOR GENERATING  
PRESCRIBED SPECTRUMS OF LIGHT

Examiner: Ismael Negron  
Art Unit: 2875

**MAIL STOP RCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

No fee or certification is required.

**PART II: Information Cited**

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>
10/325,635	December 19, 2002	Mueller et al. (C1104.70115US00)
11/064,069	February 22, 2005	Morgan et al. (C1104.70073US02)

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified PTO/SB/08):

At least some of the foreign patent documents indicated on the attached form PTO-1449 were cited in one or more oppositions filed against European Patent No. 1,234,140, which is assigned to the current assignee of the present application.

European Patent No. 1,234,140 is the European counterpart to U.S. application Serial No. 09/716,819, now U.S. Patent No. 7,014,336. The present application is a continuation of Serial No. 09/716,819 (i.e., the present application and European Patent No. 1,234,140 share a common specification).

Applicants make no representation that the documents cited herein relating to the European oppositions provide information material to the examination of the present application. Applicants make these documents of record in the present application primarily for completeness to facilitate the Examiner's understanding of the nature of these oppositions. The Examiner is urged to form his or her own conclusion regarding the relevance of the information contained in these documents.

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

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Docket No.: C1104.70061US01  
Date: June 26, 2006  
x06/27/06x

FORM PTO-1449/A and B (modified PTO/SB/08)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				APPLICATION NO.: 107/05,643		ATTY. DOCKET NO.: C1104,70061US01	
				FILING DATE: November 10, 2003		CONFIRMATION NO.: 4766	
				APPLICANT: Alfred Ducharme et al.			
				GROUP ART UNIT: 2875		EXAMINER: Ismael Negron	
Sheet	1	of	2				

#### U.S. PATENT DOCUMENTS

Examiner's Initials *	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		3,644,785		Jarmar	02/22/1972
		3,875,456		Kano et al.	04/01/1975
		4,045,664		Vrenken et al.	08/30/1977
		5,642,933		Hitara	07/01/1997
		5,749,646		Brittall	05/12/1998
		5,959,316		Lowery	09/28/1999
		5,982,969		Sugiyama et al.	11/09/1999
		5,998,925		Shimizu et al.	12/07/1999
		6,066,861		Höhn et al.	05/23/2000
		6,245,259		Höhn et al.	06/12/2001
		6,277,301		Höhn et al.	08/21/2001
		6,386,720		Mochizuki	05/14/2002
		6,576,930		Reeh et al.	06/10/2003
		6,592,780		Höhn et al.	07/15/2003
		6,812,500		Reeh et al.	11/02/2004
		US-2006-0109649	A1	Ducharme et al.	05/25/2006

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials *	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
		DE	01950581			10/07/1969	Yes, see US3644785
		DE	02243245			09/02/1972	Yes, see US4045664
		DE	03526590	A1		07/25/1985	Abstract Only
		DE	19624087	A1		06/17/1996	Abstract Only
		DE	19829270	A1		07/01/1998	Abstract Only
		DE	20007134	U1		04/18/2000	No
		DE	29620583	U1		02/13/1997	No

FORM PTO-1449/A and B (modified PTO/SB08)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	APPLICATION NO.:	10/705,643	ATTY. DOCKET NO.:	C1104.70061US01
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	APPLICANT:	Alfred Ducharme et al.		
	GROUP ART UNIT:	2875	EXAMINER:	Ismael Negron

							Yes, see US counterparts US6066861 US6245259 US6277301 US6576930 US6592780 US6812500
		DE	19638667	A1		09/20/1996	
		EP	0971421	A2		01/12/2000	
		JP	07335942	A		12/22/1995	Abstract Only
		JP	08248901	A		09/27/1996	Abstract Only
		JP	08293391	A		11/05/1996	Abstract Only
		JP	09007774	A		01/10/1997	Abstract Only
		JP	09167861	A		06/24/1997	Abstract Only
		JP	10242513	A		09/11/1998	Abstract Only
		JP	11039917	A		02/12/1999	Abstract Only
		JP	11087770	A		03/30/1999	Abstract Only
		JP	11087774	A		03/30/1999	Abstract Only
		JP	11133891	A		05/21/1999	Abstract Only
		JP	11202330	A		07/30/1999	Abstract Only
		WO	00/33390			06/08/2000	
EXAMINER:					DATE CONSIDERED:		

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]